

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF OKLAHOMA

UNITED STATES OF AMERICA,

Plaintiff,

vs.

Case No.: 23-cv-168-JFH-CDL

**1.250,000 FILLED BOTTLES OF LIQUID  
PRODUCT, MORE OR LESS, OF  
FINISHED PRODUCT, CONTAINING  
KRATOM, ET AL.,**

Defendant.

DISCLOSURE STATEMENT

**"PARTY" DEFINED:** Within this form, the terms "party" and "parties" refer to any party, intervenor, or proposed intervenor to this action.

Pursuant to Fed. R. Civ. P. 7.1 and LCvR7.1-1:

Botanic Tonics, LLC

*[enter name of party on the line above]*

who is a (check one) ☐ PLAINTIFF ☐ DEFENDANT ☒ OTHER: Claimant

in this action, makes the following disclosures:

**INSTRUCTIONS:**

1. Determine which part(s) of the form apply:
  - Part I is applicable only in diversity cases and must be completed by all parties.
  - Part II is applicable in all cases and must be completed by all nongovernmental parties that are not natural persons.
2. Check the applicable box or boxes, and fully provide any required information.
3. Attach separate pages as necessary to fully provide required information.

**PART I**

- ☐ This party is an individual who is a citizen of the state of \_\_\_\_\_.
- ☐ This party is a corporation incorporated in \_\_\_\_\_ and with a principal place of business in \_\_\_\_\_.
- ☐ This party is an unincorporated association or another artificial entity, including a limited liability company or limited liability partnership.

If yes, identify the nature of the entity, the members of the entity and the member's state of citizenship. If any member is other than an individual person, the required information identifying ownership interests and citizenship for each sub-member must be provided as well.

☐ This party is a trust.

If yes, identify each trustee and each trustee's state of citizenship. If any trustee is other than an individual person, the required information identifying ownership of the non-individual trustee and state of citizenship of each sub-trustee must be provided as well.

**PART II**

☒ This party is not publicly held and has no parents/subsidiaries, or any other ownership/relationships described below.

☐ This party is publicly held.

☐ This party has one or more parent entities.

If yes, identify all parent entities, including grandparent and great-grandparent entities.

☐ This party has one or more subsidiaries.

If yes, identify all subsidiaries.

☐ Ten percent or more of the stock of this party is owned by a publicly held corporation or other publicly held entity.

If yes, identify all such owners.

☐ Another association, firm, partnership, corporation, or other artificial entity related to the party—not already identified through other answers—has a direct financial interest in the outcome of the litigation.

If yes, identify all associations, firms, partnerships, corporations or other artificial entities and the nature of their interest.

☐ This party is a trade association.

If yes, identify all members of the association, their parent entities, and any publicly held companies that own ten percent or more of a member's stock.

☐ This party is a trust.

If yes, identify each trustee, their parent entities, and any publicly held companies that own ten percent or more of a trustee's stock.

**Any additional pertinent information should also be provided on attached page(s).**

**DATED** this 1st day of June, 2023 .

Signature: s/ John D. Russell  
Printed Name: John D. Russell  
Bar Number: OBA No. 13343  
Firm Name: GABLEGOTWALS  
Address: 110 North Elgin Ave., Suite 200  
City, State, Zip Code: Tulsa, Oklahoma 74120-1495  
Phone/Fax: (918) 595-4800  
Email Address: jrussell@gablelaw.com

### CERTIFICATE OF SERVICE

I hereby certify that on June 1, 2023 (Date), I electronically transmitted the foregoing document to the Clerk of Court using the ECF System for filing and transmittal of a Notice of Electronic Filing to the following ECF registrants (names only are sufficient):

Kristin Fulton Harrington

I hereby certify that on \_\_\_\_\_ (Date), I served the same document by

☐ U.S. Postal Service

☐ In Person Delivery

☐ Courier Service

☐ E-Mail

on the following, who are not registered participants of the ECF system:

Name(s) and Address(es):

s/ John D. Russell

Signature